#### 3. Agency Component Abbreviations

Component Abbreviation	Component Name
NRPC HQ	National Passenger Railroad Corporation Headquarters
OIG	Office of the Inspector General

## IV. Exemption 3 Statutes

Statute	Type of Information Withheld	Case Citation	Agency / Component	Number of Times Relied upon by Agency / Component	Total Number of Times Relied upon by Agency Overall
N/A	N/A	N/A	NRPC HQ	0	0
			OIG	0	

#### V.A. FOIA REQUESTS -- RECEIVED, PROCESSED AND PENDING FOIA REQUESTS

Agency / Component	Number of Requests Pending as of Start of Fiscal Year	Number of Requests Received in Fiscal Year	Number of Requests Processed in Fiscal Year	Number of Requests Pending as of End of Fiscal Year
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NRPC HQ	276	240	158	358
OIG	0	6	6	0
AGENCY OVERALL	276	246	164	358

<sup>\*</sup>After reviewing its database, NRPC updated the number of requests pending at the start of the Fiscal Year for the following component: NRPC HQ.

#### V.B.(1). DISPOSITION OF FOIA REQUESTS -- ALL PROCESSED REQUESTS

	of	Number			Number of Full Denials Based on Reasons Other than Exemptions								
Agency / Component	Partial Grants / Partial Denials	Number of Full Denials Based on Exemptions	No Records	All Records Referred to Another Component or Agency	Request Withdrawn	Fee- Related Reason	Records not Reasonably Described	Improper FOIA Request for Other Reason	Not Agency Record	Duplicate Request	Other *Explain in Chart Below	TOTAL	
OIG	0	0	1	1	3	1	0	0	0	0	0	0	6
NRPC HQ	38	34	39	27	0	7	0	1	5	2	5	0	158
AGENCY OVERALL	38	34	40	28	3	8	0	1	5	2	5	0	164

## V.B.(2). DISPOSITION OF FOIA REQUESTS -- "OTHER" REASONS FOR "FULL DENIALS BASED ON REASONS OTHER THAN EXEMPTIONS"

Agency / Component	Description of "Other" Reasons for Denials from Chart B(1)	Number of Times "Other" Reason	TOTAL
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		Was Relied Upon	
OIG	N/A	0	0
NRPC HQ	N/A	0	0
AGENCY OVERALL			0

#### V.B.(3). DISPOSITION OF FOIA REQUESTS -- NUMBER OF TIMES EXEMPTIONS APPLIED

Agency / Component	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
OIG	0	0	0	0	0	0	1	0	0	0	0	0	0	0
NRPC HQ	1	0	0	35	7	27	5	0	3	0	2	0	0	0
AGENCY OVERALL	1	0	0	35	7	27	6	0	3	0	2	0	0	0

## VI.A. ADMINISTRATIVE APPEALS OF INITIAL DETERMINATIONS OF FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING ADMINISTRATIVE APPEALS

Agency /	Number of	Number of	Number of	Number of
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Component	Appeals Pending as of Start of Fiscal Year	Appeals Received in Fiscal Year	Appeals Processed in Fiscal Year	Appeals Pending as of End of Fiscal Year
OIG	0	0	0	0
NRPC HQ	12	4	3	13
AGENCY OVERALL	12	4	3	13

#### VI.B. DISPOSITION OF ADMINISTRATIVE APPEALS -- ALL PROCESSED APPEALS

Agency / Component	Number Affirmed on Appeal	Number Partially Affirmed & Partially Reversed/Remanded on Appeal	Number Completely Reversed/Remanded on Appeal	Number of Appeals Closed for Other Reasons	TOTAL
OIG	0	0	0	0	0
NRPC HQ	2	0	1	0	3
AGENCY OVERALL	2	0	1	0	3

#### VI.C.(1). REASONS FOR DENIAL ON APPEAL -- NUMBER OF TIMES EXEMPTIONS APPLIED

Agency / Component	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
OIG	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NRPC HQ	0	0	0	0	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	0	0	0	0	0	0	0	0	0	0	0	0	0	0

## VI.C.(2). REASONS FOR DENIAL ON APPEAL -- REASONS OTHER THAN EXEMPTIONS

Agency / Component	No Records	Records Referred at Initial Request Level	Request Withdrawn	Fee- Related Reason	Records not Reasonably Described	Improper Request for Other Reasons	Not Agency Record	Duplicate Request or Appeal	Request in Litigation	Appeal Based Solely on Denial of Request for Expedited Processing	Other *Explain in chart below
OIG	0	0	0	0	0	0	0	0	0	0	0
NRPC HQ	0	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	0	0	0	0	0	0	0	0	0	0	0

#### VI.C.(3). REASONS FOR DENIAL ON APPEAL -- "OTHER" REASONS

Agency / Component	Description of "Other" Reasons for Denial on Appeal from Chart C(2)	Number of Times "Other" Reason Was Relied Upon	TOTAL
OIG	N/A	0	0
NRPC HQ	N/A	0	0
AGENCY OVERALL			0

#### VI.C.(4). RESPONSE TIME FOR ADMINISTRATIVE APPEALS

Agency / Component	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
OIG	N/A	N/A	N/A	N/A
NRPC HQ	739	641.67	290	896
AGENCY OVERALL	739	641.67	290	896

#### VI.C.(5). TEN OLDEST PENDING ADMINISTRATIVE APPEALS

Agency / Component		10th Oldest Appeal	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Appeal
	Date of Appeal	2022- 06-01	2020- 10-08	2020- 06-15	2019- 10-16	2019- 07-30	2019- 06-18	2019- 02-11	2018- 12-21	2018- 04-19	2017- 03-28
NRPC HQ	Number of Days Pending	85	499	580	745	800	829	918	950	1121	1388
AGENCY	Date of Appeal	2022- 06-01	2020- 10-08	2020- 06-15	2019- 10-16	2019- 07-30	2019- 06-18	2019- 02-11	2018- 12-21	2018- 04-19	2017- 03-28
OVERALL	Number of Days Pending	85	499	580	745	800	829	918	950	1121	1388

#### VII.A. FOIA REQUESTS -- RESPONSE TIME FOR ALL PROCESSED PERFECTED REQUESTS

		SIM	IPLE			COM	PLEX		E	XPEDITED F	PROCESSIN	G
Agency / Component	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Number	Average Number of Days		Highest Number of Days

OIG	4	8	1	24	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
NRPC HQ	23.5	87.7	1	1580	377	406.81	67	912	33	148.36	4	443
AGENCY OVERALL	23.5	87.7	1	1580	377	406.81	67	912	33	148.36	4	443

#### VII.B. PROCESSED REQUESTS -- RESPONSE TIME FOR PERFECTED REQUESTS IN WHICH INFORMATION WAS GRANTED

		SIM	PLE			COM	PLEX		E	XPEDITED F	PROCESSIN	G
	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
OIG	N/A	N/A										
NRPC HQ	32	122.61	1	1580	385	406.5	67	912	33	102.33	20	254
AGENCY OVERALL	32	122.61	1	1580	385	406.5	67	912	33	102.33	20	254

#### VII.C. PROCESSED SIMPLE REQUESTS -- RESPONSE TIME IN DAY INCREMENTS

Agency /	<1-	21-	41-	61-	81-	101-	121-	141-	161-	181-	201-	301-	401+	TOTAL
Component	20	40	60	80	100	120	140	160	180	200	300	400	Days	

	Days													
OIG	2	4	0	0	0	0	0	0	0	0	0	0	0	6
NRPC HQ	10	39	20	6	4	5	4	2	2	0	1	9	7	109
AGENCY OVERALL	12	43	20	6	4	5	4	2	2	0	1	9	7	115

#### VII.C. PROCESSED COMPLEX REQUESTS -- RESPONSE TIME IN DAY INCREMENTS

Agency / Component	<1- 20 Days	21- 40 Days	41- 60 Days	61- 80 Days	81- 100 Days	101- 120 Days	121- 140 Days	141- 160 Days	161- 180 Days	181- 200 Days	201- 300 Days	301- 400 Days	401+ Days	TOTAL
OIG	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NRPC HQ	0	0	0	1	1	0	0	0	0	0	5	10	10	27
AGENCY OVERALL	0	0	0	1	1	0	0	0	0	0	5	10	10	27

#### VII.C. PROCESSED REQUESTS GRANTED EXPEDITED PROCESSING -- RESPONSE TIME IN DAY INCREMENTS

Agency /	<1-	21-	41-	61-	81-	101-	121-	141-	161-	181-	201-	301-	401+	TOTAL
Component	20	40	60	80	100	120	140	160	180	200	300	400	Days	

	Days													
OIG	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NRPC HQ	4	3	0	0	0	0	0	0	0	0	1	1	1	10
AGENCY OVERALL	4	3	0	0	0	0	0	0	0	0	1	1	1	10

#### VII.D. PENDING REQUESTS -- ALL PENDING PERFECTED REQUESTS

,		SIMPLE			COMPLEX		EXPED	ITED PROC	ESSING
Agency / Component	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days
OIG	0	0	0	0	0	0	0	0	0
NRPC HQ	106	144.5	179	175	558	571	7	397	351
AGENCY OVERALL	106	144.5	179	175	558	571	7	379	351

#### VII.E. PENDING REQUESTS -- TEN OLDEST PENDING PERFECTED REQUESTS

Component		Oldest Request									Request
	Date of Receipt	2018- 03-30	2018- 03-22	2018- 01-29	2017- 12-19	2017- 04-24	2017- 03-13	2017- 03-09	2017- 03-07	2017- 01-23	2017- 01-09
NRPC HQ	Number of Days Pending	1135	1141	1178	1204	1369	1399	1401	1403	1433	1442
AGENCY	Date of Receipt	2018- 03-30	2018- 03-22	2018- 01-29	2017- 12-19	2017- 04-24	2017- 03-13	2017- 03-09	2017- 03-07	2017- 01-23	2017- 01-09
OVERALL	Number of Days Pending	1135	1141	1178	1204	1369	1399	1401	1403	1433	1442

#### **VIII.A. REQUESTS FOR EXPEDITED PROCESSING**

Agency / Component	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate	Number Adjudicated Within Ten Calendar Days
OIG	0	0	N/A	N/A	0
NRPC HQ	16	0	19	79.06	6
AGENCY OVERALL	16	0	19	79.06	6

#### VIII.B. Requests for Fee Waiver

Agency / Component	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate
OIG	0	0	N/A	N/A
NRPC HQ	36	0	6	14.61
AGENCY OVERALL	36	0	6	14.61

#### IX. FOIA Personnel and Costs

		PERSONNEL			COSTS	
Agency / Component	Number of "Full-Time FOIA Employees"	Number of "Equivalent Full-Time FOIA Employees"	Total Number of "Full-Time FOIA Staff"	Processing Costs	Litigation- Related Costs	Total Costs
NRPC HQ	0	1.00	1.00	228.00	0.00	228.00
OIG	2	0.00	2.00	12000.00	0.00	12000.00

AGENCY OVERALL 2 1.00	3.00 12	2228.00 0.00	12228.00
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## X. Fees Collected for Processing Requests

Agency / Component	Total Amount of Fees Collected	Percentage of Total Costs	
OIG	0.00	0.0000	
NRPC HQ	0.00	0.0000	
AGENCY OVERALL	0.00	0.0000	

## XI.A. Number of Times Subsection (C) Used

Agency / Component	Number of Times Subsection Used
OIG	0

NRPC HQ	0
AGENCY OVERALL	0

## XI.B. Number of Subsection (A)(2) Postings

Agency / Component	Number of Records Posted by the FOIA Office	Number of Records Posted by Program Offices
OIG	0	0
NRPC HQ	3	0
AGENCY OVERALL	3	0

#### XII.A. Backlogs of FOIA Requests and Administrative Appeals

, ,	Number of	Number of
Agency /	Backlogged	Backlogged
Component	Requests as of	Appeals as of
	End of Fiscal	End of Fiscal

	Year	Year
OIG	0	0
NRPC HQ	277	13
AGENCY OVERALL	277	13

#### XII.B. CONSULTATIONS ON FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING CONSULTATIONS

Agency / Component	Number of Consultations Received from Other Agencies that were Pending at the Agency as of Start of the Fiscal Year	Number of Consultations <u>Received</u> from Other Agencies During the Fiscal Year	Number of Consultations Received from Other Agencies that were Processed by the Agency During the Fiscal	Number of Consultations Received from Other Agencies that were Pending at the Agency as of End of the Fiscal Year
OIG	0	0	0	0
NRPC HQ	0	2	2	0
AGENCY OVERALL	0	2	2	0

#### XII.C. CONSULTATIONS ON FOIA REQUESTS -- TEN OLDEST CONSULTATIONS RECEIVED FROM OTHER AGENCIES AND PENDING AT THE AGENCY

Agency / Component		10th Oldest Consultation	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Consultation
	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
NRPC HQ	Number of Days	0	0	0	0	0	0	0	0	0	0
	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
OIG	Number of Days	0	0	0	0	0	0	0	0	0	0
AGENCY	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
OVERALL	Number of Days	0	0	0	0	0	0	0	0	0	0

## XII.D.(1). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- REQUESTS RECEIVED AND PROCESSED

	NUMBER OF REC	QUESTS <u>RECEIVED</u>	NUMBER OF REQUESTS  PROCESSED		
Agency / Component	Number Received During Fiscal Year from Last Year's	Number Received During Fiscal Year from Current Annual	Number Processed During Fiscal Year from Last Year's Annual	Number Processed During Fiscal Year from Current Annual	

	Annual Report	Report	Report	Report
OIG	23	6	23	6
NRPC HQ	141	240	4	158
AGENCY OVERALL	164	246	27	164

#### XII.D.(2). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED REQUESTS

Agency / Component	Number of Backlogged Requests as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Requests as of End of the Fiscal Year from Current Annual Report
OIG	0	0
NRPC HQ	170	277
AGENCY OVERALL	170	277

XII.E.(1). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- APPEALS RECEIVED AND PROCESSED

	NUMBER OF AP	PEALS <u>RECEIVED</u>	NUMBER OF APPEALS PROCESSED	
Agency / Component	Number Received During Fiscal Year from Last Year's Annual Report	Number Received During Fiscal Year from Current Annual Report	Number Processed During Fiscal Year from Last Year's Annual Report	Number Processed During Fiscal Year from Current Annual Report
OIG	0	0	0	0
NRPC HQ	1	4	0	3
AGENCY OVERALL	1	4	0	3

# XII.E.(2). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED APPEALS

Agency / Component	Number of Backlogged Appeals as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Appeals as of End of the Fiscal Year from Current Annual Report
OIG	0	0
NRPC HQ	12	13

ſ	AGENCY		
	OVERALL	12	13